



**Institut Teknologi Sepuluh Nopember  
Surabaya**



**PENGENDALIAN – SISTEM NONLINIER**

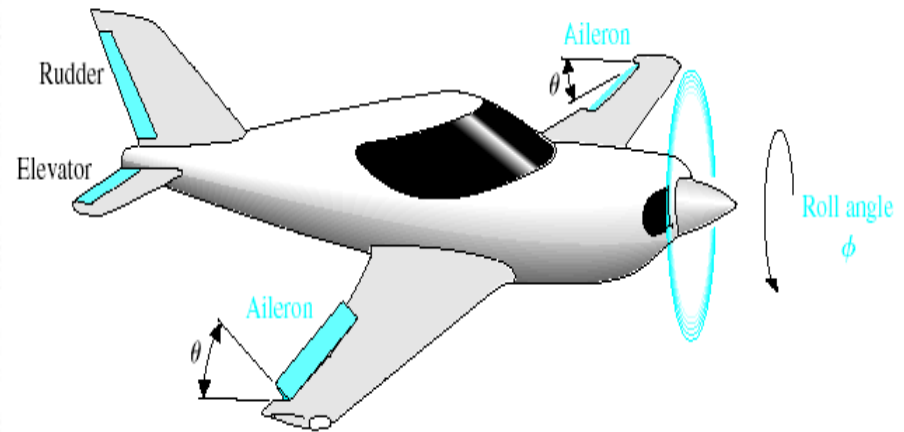
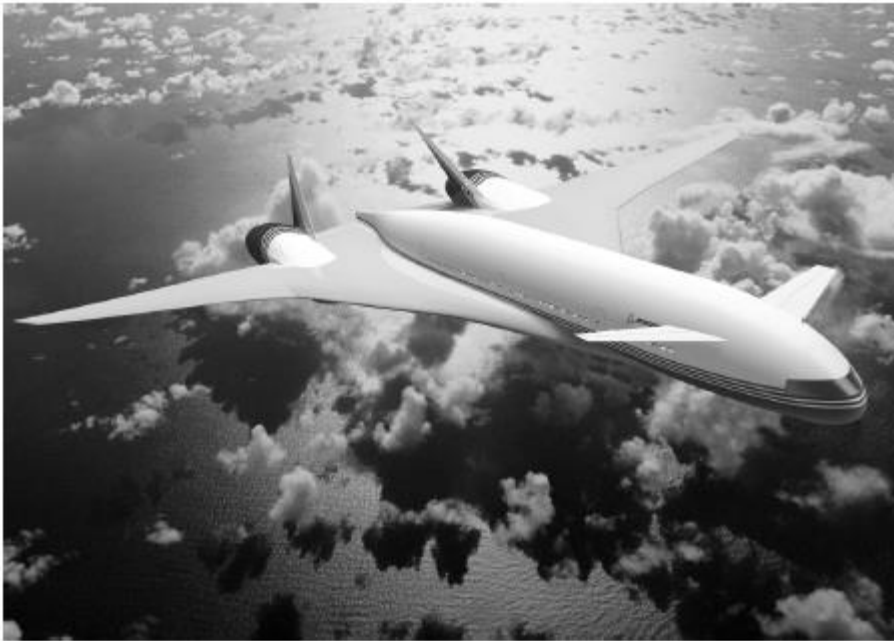
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# Chap7 Nonlinear Control system

## 7.1 Introduction

## 7.2 Describing function

## 7.3 Method of the phase locus



## **Chap7 Nonlinear Control system**

### **7.1 Introduction**

**7.1.1 What is the nonlinearity ?**

**7.1.2 What is the nonlinear control system?**

**7.1.3 The typical nonlinearities.**

**7.1.4 The speciality of the nonlinear systems**

**7.1.5 Analysis method of the nonlinear systems**

## 7.1 Introduction

### 7.1.1 What is the nonlinearity ?

The “output” varying is not proportional to the “input” varying for a device.

*The characteristic of the nonlinear device can not be described by the linear differential equation.*

**Types of the nonlinearity:**

#### **(1) Essential nonlinearity**

The nonlinearity  $y=f(x)$  can not be expressed as the Talor series expansion in all  $x$ .

#### **( 2) Nonessential nonlinearity**

The  $y=f(x)$  can be expressed as the Talor series expansion in all  $x$ .

## 7.1 Introduction

### 7.1.2 What is the nonlinear control system?

If a control system include one or more nonlinear characteristic element or link , the system is named as the nonlinear control system.

### 7.1.3 The typical nonlinearities

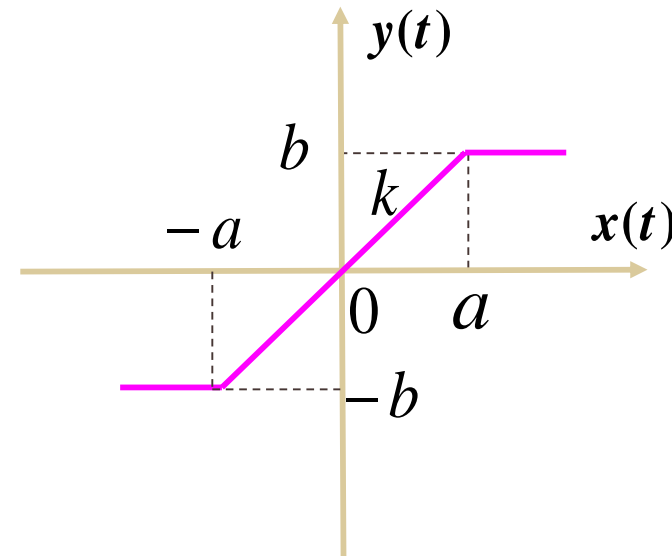
#### (1) Saturation nonlinearity

*Mathematical description*

$$y(t) = \begin{cases} kx(t) & |x(t)| \leq a \\ ka \cdot \text{sign } x(t) & |x(t)| > a \end{cases}$$

*a – linear zone width; k – slope of the linear characteristic;*

$$\text{sign } x(t) = \begin{cases} +1 & x(t) > 0 \\ -1 & x(t) < 0 \end{cases}$$



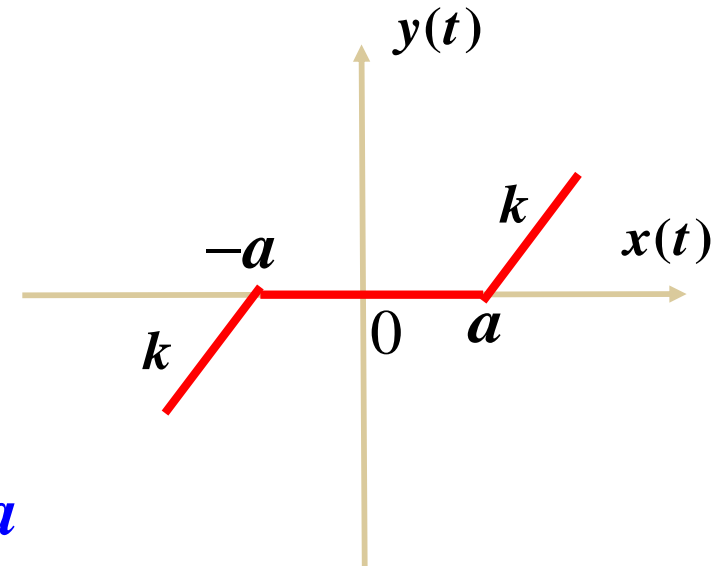
### 7.1.3 The typical nonlinearities

**Actual examples:** saturation characteristic of the amplifier; valve journey; power limit etc.

#### (2) Dead zone nonlinearity

*Mathematical description:*

$$y(t) = \begin{cases} 0 & |x(t)| \leq a \\ k[x(t) - a \operatorname{sign} x(t)] & |x(t)| > a \end{cases}$$



*$a$  – dead zone width;  $k$  – slope of the linear output;*

$$\operatorname{sign} x(t) = \begin{cases} +1 & x(t) > 0 \\ -1 & x(t) < 0 \end{cases}$$

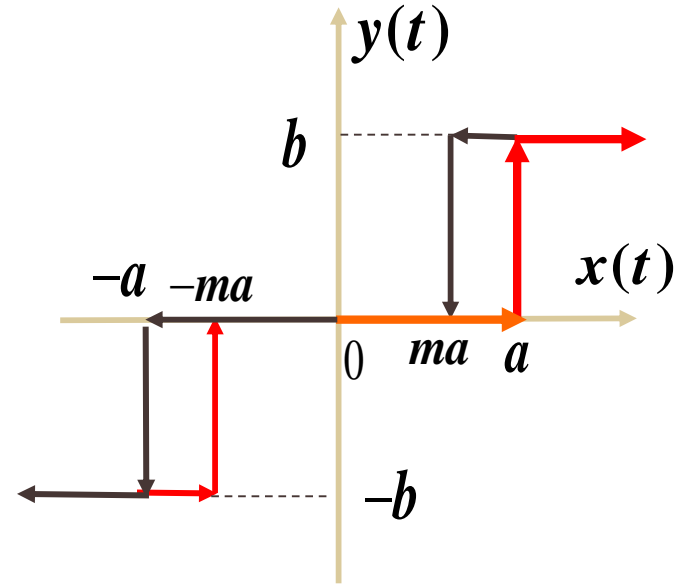
**Actual examples:** Insensitive zone of the measure system;  
Turn on characteristic of the diode etc.

## 7.1.3 The typical nonlinearities

### (3) Relay nonlinearity

*Mathematical description :*

$$y(t) = \begin{cases} -b & x(t) < -ma \\ 0 & -ma < x(t) < a & \dot{x}(t) > 0 \\ b & x(t) > a \\ b & x(t) > ma \\ 0 & -a < x(t) < ma & \dot{x}(t) < 0 \\ -b & x(t) < -a \end{cases}$$



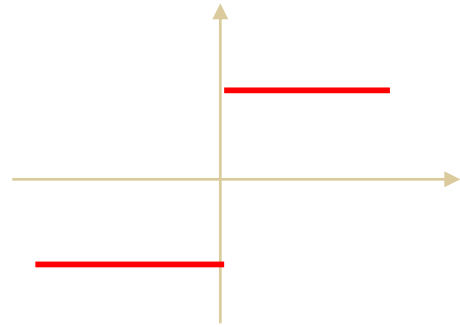
$a \rightarrow$  relay attracting voltage;  $ma \rightarrow$  relay release voltage

$b \rightarrow$  saturation output

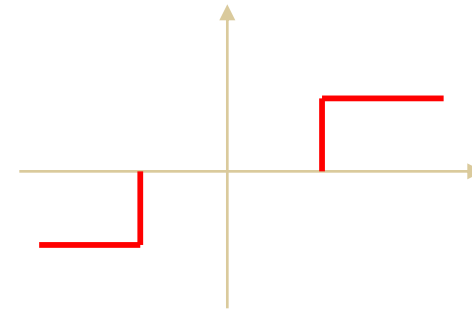
Actual examples: relay, switch etc.

Several special relay nonlinearity:

## 7.1.3 The typical nonlinearities



Ideal relay nonlinearity



Approximate relay nonlinearity  
( $m=1$ )

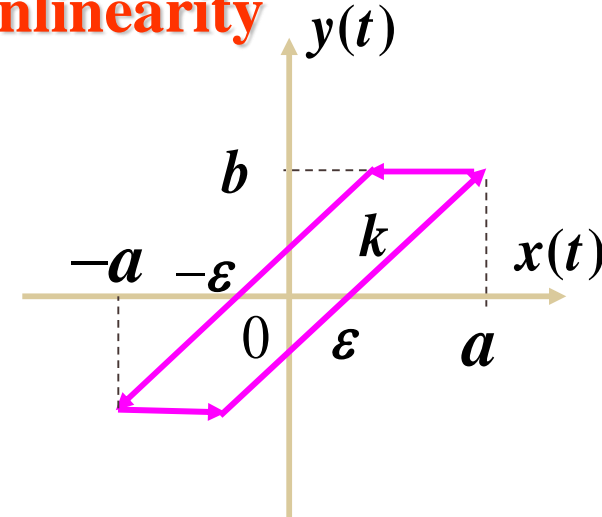
### (4) Backlash hysteresis loop (clearance) nonlinearity

*Mathematical description :*

$$y(t) = \begin{cases} k[x(t) - \varepsilon] & \dot{y}(t) > 0 \\ k[x(t) + \varepsilon] & \dot{y}(t) \leq 0 \\ b \operatorname{sign} x(t) & \dot{y}(t) = 0 \end{cases}$$

$2\varepsilon \rightarrow$  backlash width

$k \rightarrow$  slope of the backlash characteristic



Actual example:  
gear backlash



### 7.1.3 The typical nonlinearities

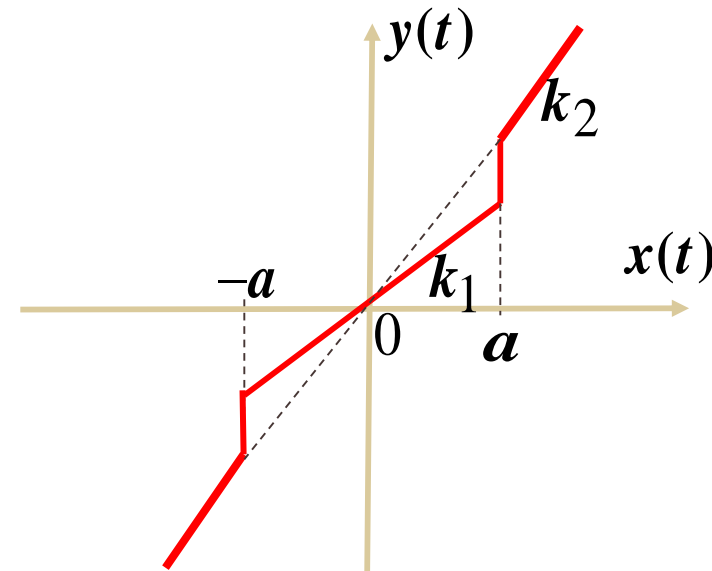
#### (5) changeable gain nonlinearity

*Mathematical description :*

$$y(t) = \begin{cases} k_1 x(t) & |x(t)| < a \\ k_2 x(t) & |x(t)| > a \end{cases}$$

*a* → change point

*k<sub>1</sub> k<sub>2</sub>* → slope of the changeable gain characteristic



### 7.1.4 The characteristics of the nonlinear systems (distinguishing features with linear system)

	Linear system characteristics	Nonlinear system characteristics
1	Satisfy superposition theorem.	Not satisfy superposition theorem.
2	Stability is only related to the system parameters.	Stability is related to system input, initial state, parameters, structure etc.
3	Have two kind of work states: stable and unstable.	Have stable, unstable and self-oscillation.
4	The form of the output is the same as input.	The form of the output is different from the input.

## 7.1.4 The analysis and design methods of the nonlinear systems

- ① Phase plane method
  - ② Describing function method
  - ③ Computer and intelligence → modern
- } Classical

## 7.2 Describing function method of the nonlinear system analysis

Four items:

1. What is the describing function?
  2. How to get the describing function?
  3. How to analyze a nonlinear system by describing function?
  4. Attentions and development
- ← (modeling)
- ← (analysis and design)

### 7.2.1 What is the describing function?

(Put forwarded by P.J.Daniel, In 1940)

#### 1. Basic idea

For the nonlinear system

## 7.2.1 What is the describing function?

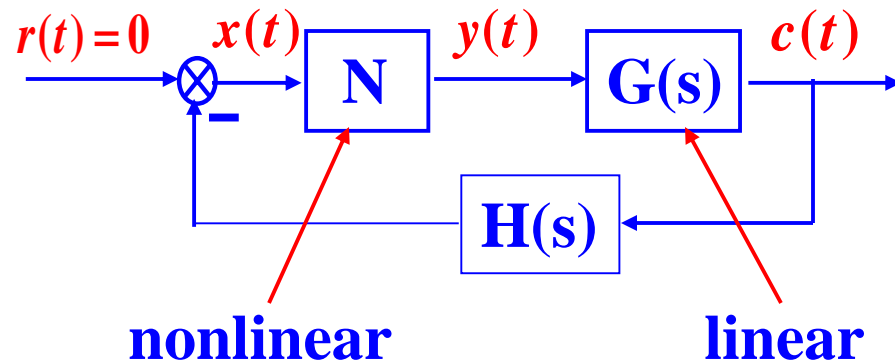


Fig.7.7 Typical structure of the nonlinear systems

If :  $x(t) = X \sin \omega t$   $\implies$  a sinusoidal input,  $y(t)$ , maybe it is not a sinusoidal but a periodic function, can be expressed as a Fourier series:

$$\begin{aligned} y(t) &= A_0 + \sum_{n=1}^{\infty} (A_n \cos n\omega t + B_n \sin n\omega t) \\ &= A_0 + \sum_{n=1}^{\infty} Y_n \sin(n\omega t + \varphi_n) \end{aligned}$$

## 7.2.1 What is the describing function?

$$y(t) = A_0 + \sum_{n=1}^{\infty} (A_n \cos n\omega t + B_n \sin n\omega t) \quad A_0 = \frac{1}{2\pi} \int_0^{2\pi} y(t) d(\omega t)$$

$$= A_0 + \sum_{n=1}^{\infty} Y_n \sin(n\omega t + \varphi_n) \quad A_n = \frac{1}{\pi} \int_0^{2\pi} y(t) \cos n\omega t d(\omega t)$$

$$Y_n = \sqrt{A_n^2 + B_n^2}, \quad \varphi_n = \arctg \frac{A_n}{B_n} \quad B_n = \frac{1}{\pi} \int_0^{2\pi} y(t) \sin n\omega t d(\omega t)$$

**Discuss:**

- i) For the symmetry nonlinearity:  $A_0 = 0$ , and**
- ii) the harmonic of  $y(t)$  could be neglected, then:**

$y(t) \approx Y_1 \sin(\omega t + \varphi_1) \Rightarrow$  **output frequency is equal to input frequency approximately.**

## 7.2.1 What is the describing function?

It means:

*We can describe the nonlinear components by the frequency response like as that we did in chapter 5.*

So we have:

### 2. Definition of the describing function

The describing function  $N(X)$  of the nonlinear element is: the complex ratio of the fundamental component of the output  $y(t)$  and the sinusoidal input  $x(t)$ , that is:


For  $x(t) = X \sin \omega t$ ,

$$y(t) \approx A_1 \cos \omega t + B_1 \sin \omega t$$

$$= Y_1 \sin(\omega t + \varphi_1) \implies N(X) = \frac{Y_1 e^{j\varphi_1}}{X}$$

Here:

## 7.2.1 What is the describing function?

$$Y_1 = \sqrt{A_1^2 + B_1^2}$$
$$\varphi_1 = \operatorname{arctg} \frac{A_1}{B_1}$$
$$A_1 = \frac{1}{\pi} \int_0^{2\pi} y(t) \cos \omega t d(\omega t)$$
$$B_1 = \frac{1}{\pi} \int_0^{2\pi} y(t) \sin \omega t d(\omega t)$$


Because the describing function actually is the linearized “frequency response” → “harmonic linearization”,  
*we can analyze the nonlinear systems like as that we did in chapter 5.*

## 7.2.2 How to get the describing function?

### 1. Steps

(1) Input a sinusoid signal  $x(t)$  to the nonlinear elements:

$$x(t) = X \sin \omega t$$



## 7.2.2 How to get the describing function?

- (2) Solve  $y(t)$  and obtain the fundamental component of  $y(t)$ .
- (3) Calculate the describing function  $N(X)$  according to following formula:

$$\left. \begin{aligned} A_1 &= \frac{1}{\pi} \int_0^{2\pi} y(t) \cos \omega t d(\omega t) \\ B_1 &= \frac{1}{\pi} \int_0^{2\pi} y(t) \sin \omega t d(\omega t) \end{aligned} \right\} \begin{aligned} Y_1 &= \sqrt{A_1^2 + B_1^2} \\ \varphi_1 &= \operatorname{arctg} \frac{A_1}{B_1} \end{aligned} \left. \vphantom{\begin{aligned} A_1 \\ B_1 \end{aligned}} \right\} y(t) \approx Y_1 \sin(\omega t + \varphi_1)$$
$$N(X) = \frac{Y_1 e^{j\varphi_1}}{X}$$

### 2. Examples

## 7.2.2 How to get the describing function?

### Example 7.1

The mathematical description of a nonlinear device is:

$$y = \frac{1}{2}x + \frac{1}{4}x^3$$

Determine the describing function of the device.

**Solution**

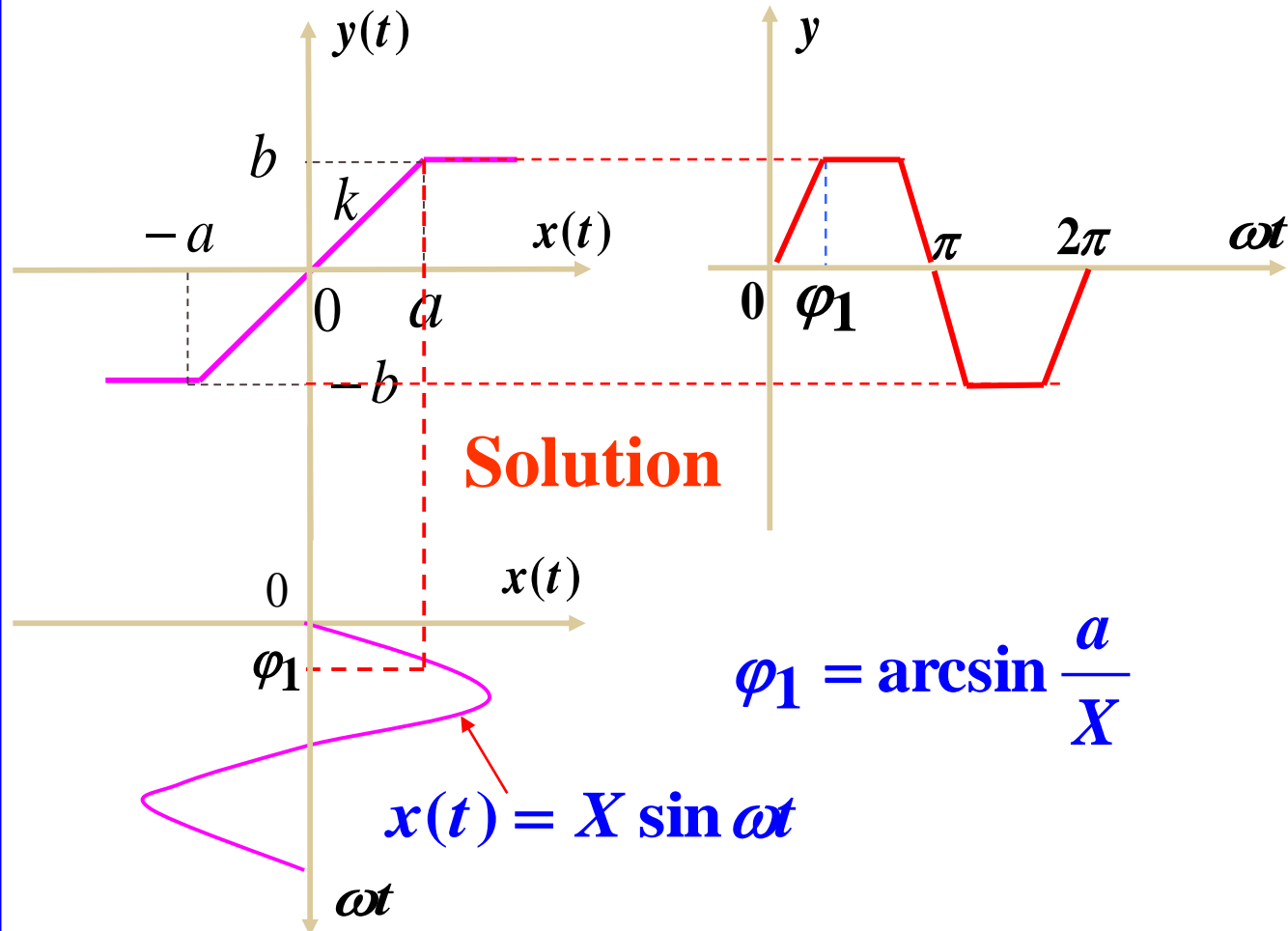
$$y(t) = \frac{1}{2}x + \frac{1}{4}x^3 \Big|_{x=X \sin \omega t}$$

$$= \left(\frac{1}{2}X + \frac{3}{16}X^3\right) \sin \omega t - \frac{1}{16}X^3 \sin 3\omega t$$

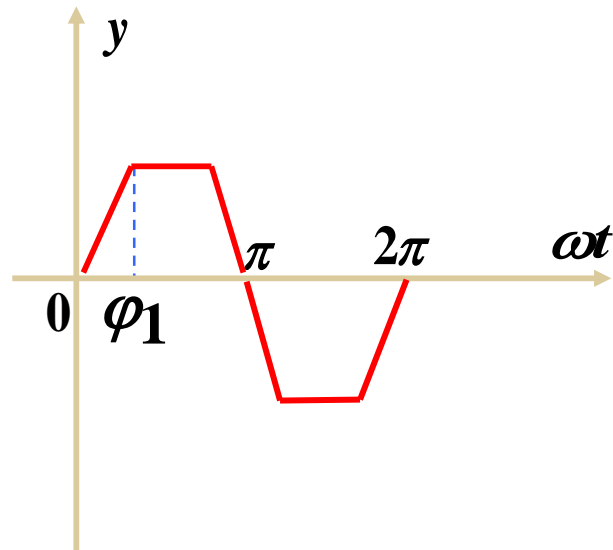
$$y_1(t) = \left(\frac{1}{2}X + \frac{3}{16}X^3\right) \sin \omega t \implies N(X) = \frac{\dot{Y}_1}{\dot{X}} = \frac{1}{2} + \frac{3}{16}X^2$$

## 7.2.2 How to get the describing function?

**Example 7.2** Determine the describing function of the saturation nonlinearity.



## 7.2.2 How to get the describing function?



$$y(t) = \begin{cases} kX \sin \omega t & 0 \leq \omega t \leq \varphi_1 \\ kX & \varphi_1 \leq \omega t \leq \frac{\pi}{2} \end{cases}$$

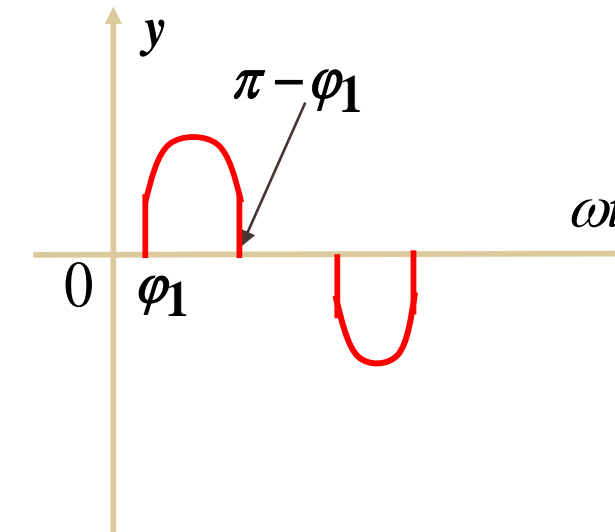
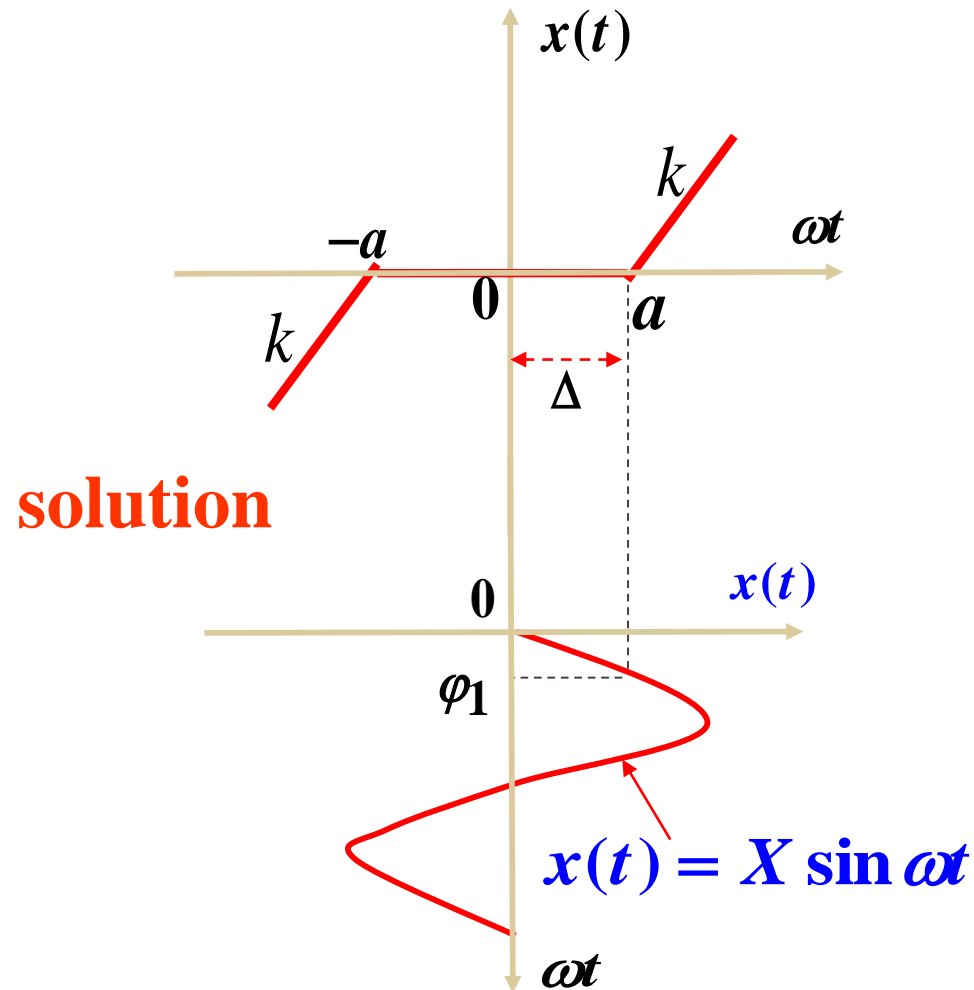
$$A_1 = 0 \quad B_1 = \frac{1}{\pi} \int_0^{2\pi} y(t) \sin \omega t d(\omega t)$$

$$= \frac{2kX}{\pi} \left[ \arcsin \frac{a}{X} + \frac{a}{X} \sqrt{1 - \left(\frac{a}{X}\right)^2} \right]$$

$$N(X) = \frac{B_1}{X} = \frac{2k}{\pi} \left[ \arcsin \frac{a}{X} + \frac{a}{X} \sqrt{1 - \left(\frac{a}{X}\right)^2} \right] \quad X > a$$

## 7.2.2 How to get the describing function?

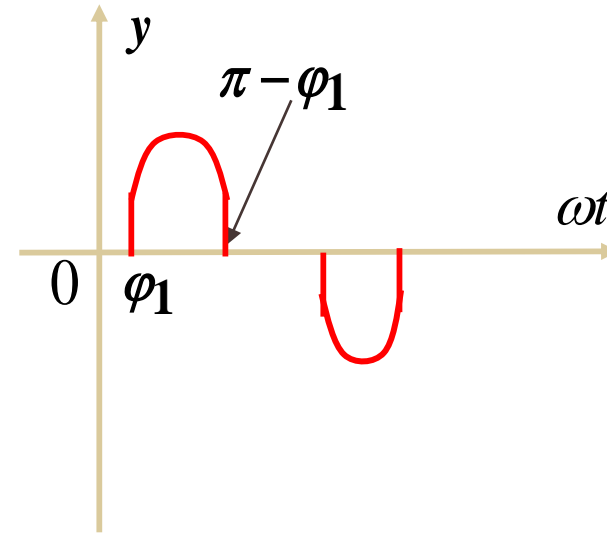
**Example 7.3** Determine the describing function of the dead zone nonlinearity.



$$\varphi_1 = \arcsin \frac{\Delta}{X}$$

## 7.2.2 How to get the describing function?

$$y(t) = \begin{cases} 0 & 0 \leq \omega t \leq \varphi_1 \\ k(X \sin \omega t - \Delta) & \varphi_1 \leq \omega t \leq \frac{\pi}{2} \end{cases}$$



$$A_1 = 0$$

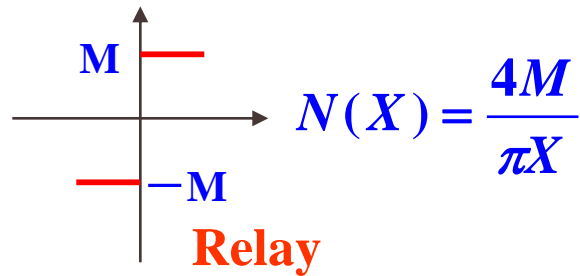
$$B_1 = \frac{1}{\pi} \int_0^{2\pi} y(t) \sin \omega t d(\omega t)$$

$$= \frac{2kX}{\pi} \left[ \frac{\pi}{2} - \arcsin \frac{\Delta}{X} - \frac{\Delta}{X} \sqrt{1 - \left(\frac{\Delta}{X}\right)^2} \right] \quad (X \geq \Delta)$$

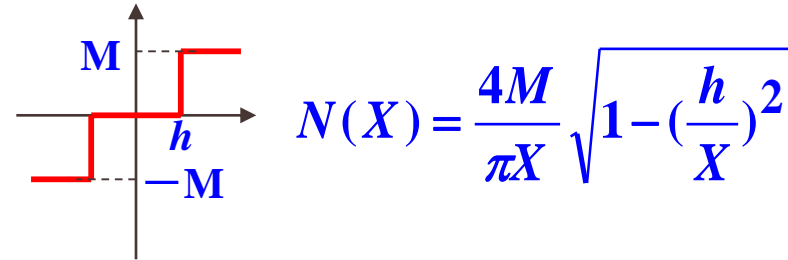
$$N(X) = \frac{B_1}{X} = \frac{2k}{\pi} \left[ \frac{\pi}{2} - \arcsin \frac{\Delta}{X} - \frac{\Delta}{X} \sqrt{1 - \left(\frac{\Delta}{X}\right)^2} \right] \quad (X \geq \Delta)$$

## 7.2.2 How to get the describing function?

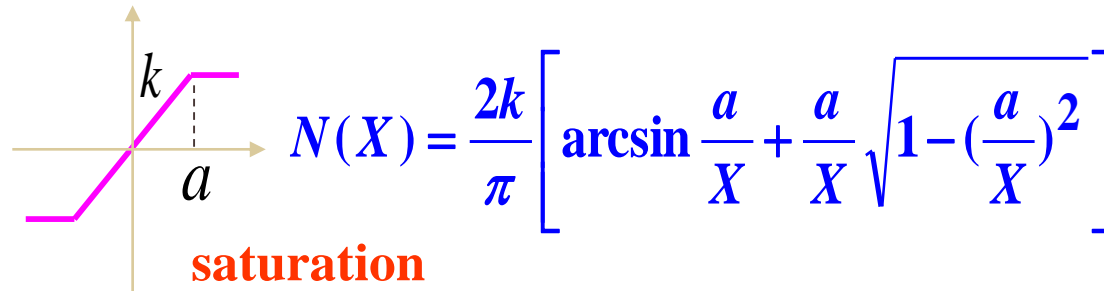
### 3. The describing function of some typical nonlinearity



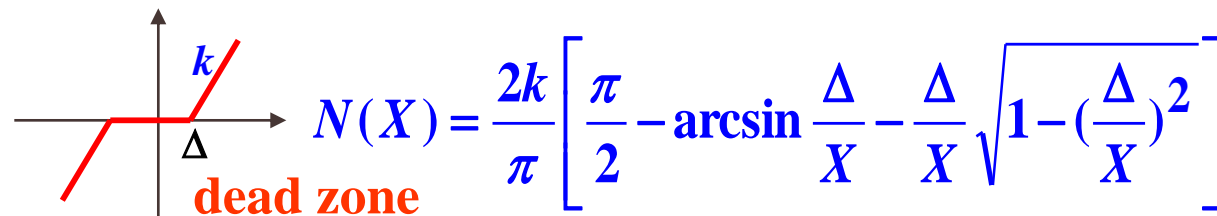
$$N(X) = \frac{4M}{\pi X}$$



$$N(X) = \frac{4M}{\pi X} \sqrt{1 - \left(\frac{h}{X}\right)^2}$$

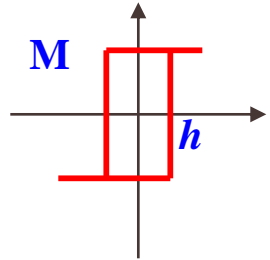


$$N(X) = \frac{2k}{\pi} \left[ \arcsin \frac{a}{X} + \frac{a}{X} \sqrt{1 - \left(\frac{a}{X}\right)^2} \right]$$

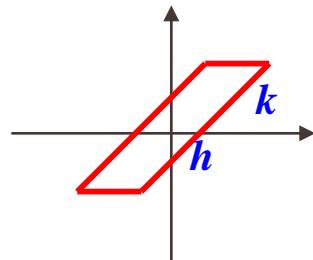


$$N(X) = \frac{2k}{\pi} \left[ \frac{\pi}{2} - \arcsin \frac{\Delta}{X} - \frac{\Delta}{X} \sqrt{1 - \left(\frac{\Delta}{X}\right)^2} \right]$$

### 3. The describing function of some typical nonlinearity



$$N(X) = \frac{4M}{\pi X} \sqrt{1 - \left(\frac{h}{X}\right)^2} - j \frac{4M}{\pi X^2}$$



**backlash  
hysteresis**

$$N(X) = \frac{k}{\pi} \left[ \begin{aligned} &\frac{\pi}{2} + \arcsin\left(1 - \frac{2h}{X}\right) \\ &+ 2\left(1 - \frac{2h}{X}\right) \sqrt{\frac{h}{X} \left(1 - \frac{h}{X}\right)} \end{aligned} \right] + j \frac{4kh}{\pi X} \left(\frac{h}{X} - 1\right)$$



## 7.2.2 How to get the describing function?

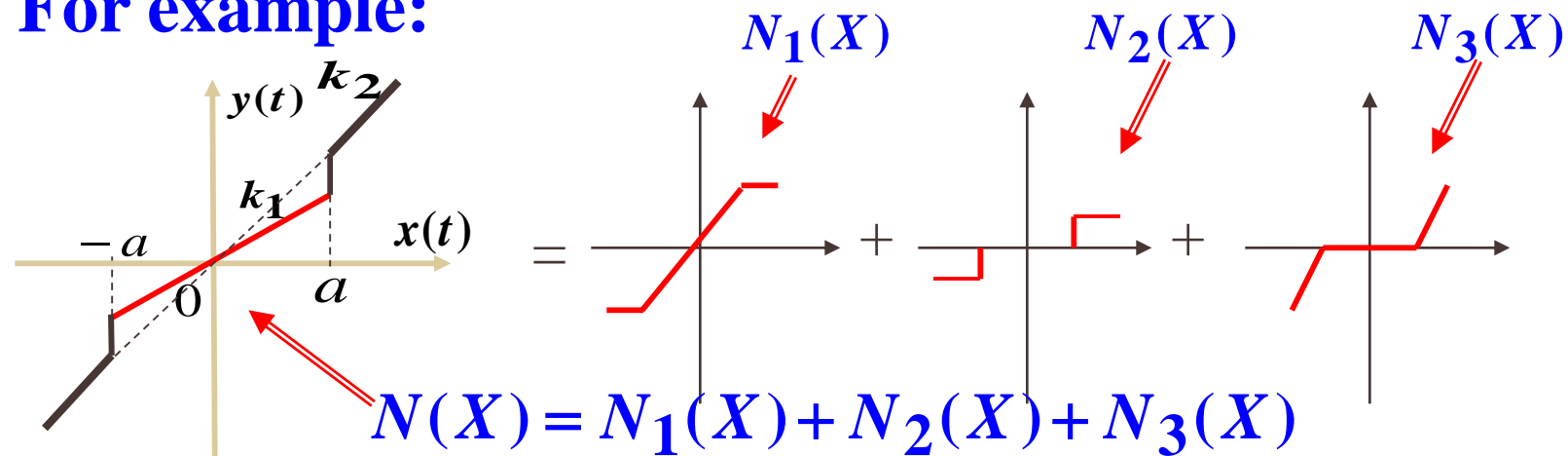
### 4. characters

(1) For the “single value” nonlinearity the describing function must be a “real number”.

such as the dead zone, saturation and the ideal relay nonlinearity etc.

(2) The describing function satisfy the superposition principle (nonlinearity not).

For example:



## 7.2.3 Stability analysis of the nonlinear system by describing function

### 1. Review of Nyquist criterion

For the linear system:

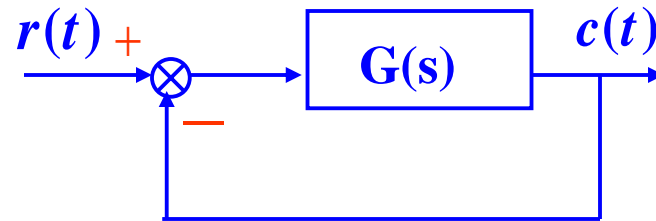


Fig.7.2.3.1

The characteristic equation of the system :

$$1 + G(j\omega) = 0$$

$$\Rightarrow G(j\omega) = -1 + j0$$

If  $G(s)$  is a minimum phase transfer function, the necessary and sufficient condition of the stable system is :

$G(j\omega)$  does not circle the point  $(-1, j0)$

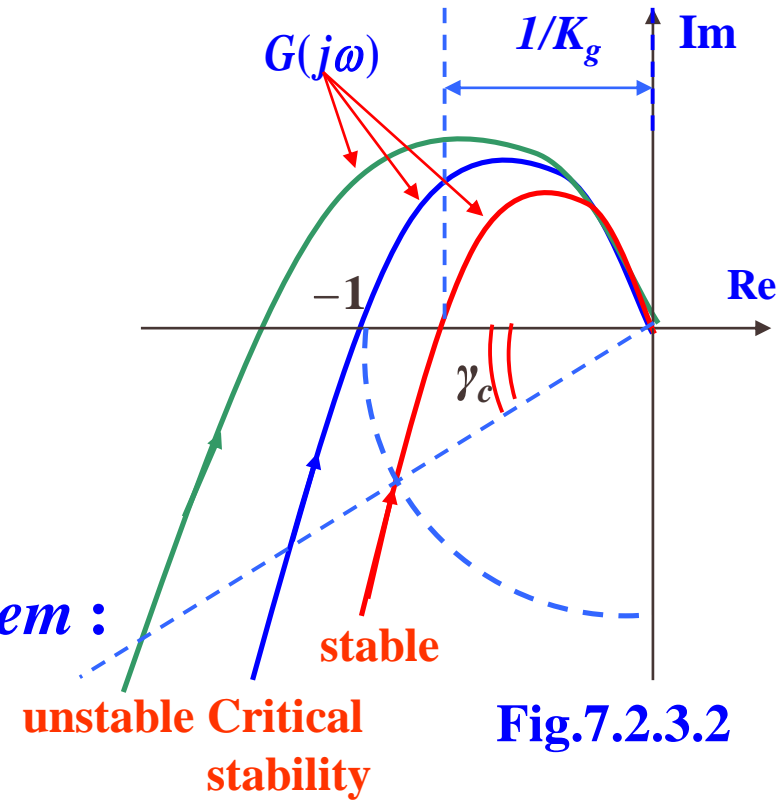
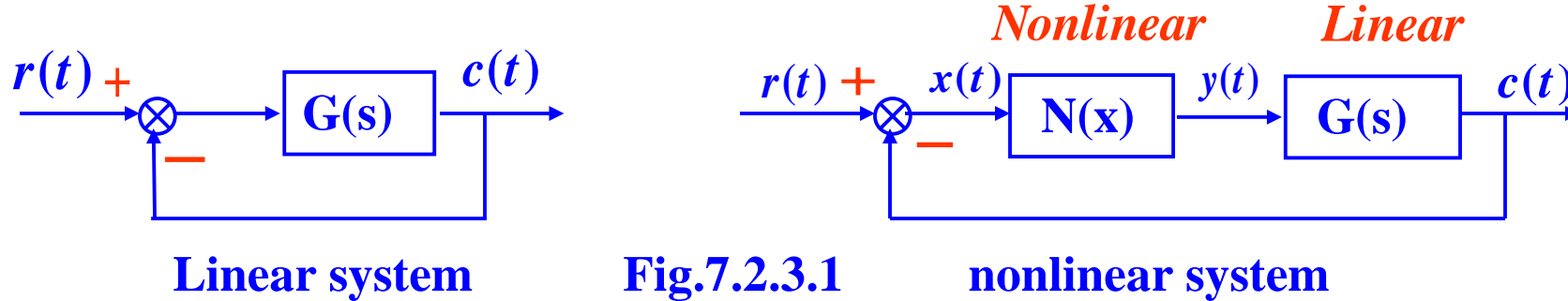


Fig.7.2.3.2

## 7.2.3 Stability analysis of the nonlinear system by describing function

### 2. Compare the nonlinear system with the linear system



Transfer function of the system:

$$\phi(j\omega) = \frac{C(j\omega)}{R(j\omega)} = \frac{G(j\omega)}{1+G(j\omega)}$$

Characteristic equation:

$$1 + G(j\omega) = 0$$

$$\Rightarrow G(j\omega) = -1$$

In the  $G(j\omega)$  plane ↑ **A point**

$$\phi(j\omega) = \frac{C(j\omega)}{R(j\omega)} = \frac{N(X)G(j\omega)}{1+N(X)G(j\omega)}$$

$$1 + N(X)G(j\omega) = 0$$

$$\Rightarrow G(j\omega) = -\frac{1}{N(X)}$$

**A curve** →

Because the describing function  $N(X)$  actually is a linearized frequency response, we can expand the Nyquist criterion to the nonlinear system :

### 3. Stability analysis of the nonlinear system

(For example the minimum phase system)

*compare with linear system*

(1)  $G(j\omega)$  don't circle the  $-\frac{1}{N(X)}$  curve, the nonlinear system is stable;

(2)  $G(j\omega)$  circle the  $-\frac{1}{N(X)}$  curve, the nonlinear system is unstable;

(3)  $G(j\omega)$  intersect with the  $-\frac{1}{N(X)}$  curve, there will be a self-oscillation in the nonlinear system.

(1)  $G(j\omega)$  don't circle the point  $(-1, j\omega)$ , the system is stable;

(2)  $G(j\omega)$  circle the point  $(-1, j\omega)$ , the system is unstable;

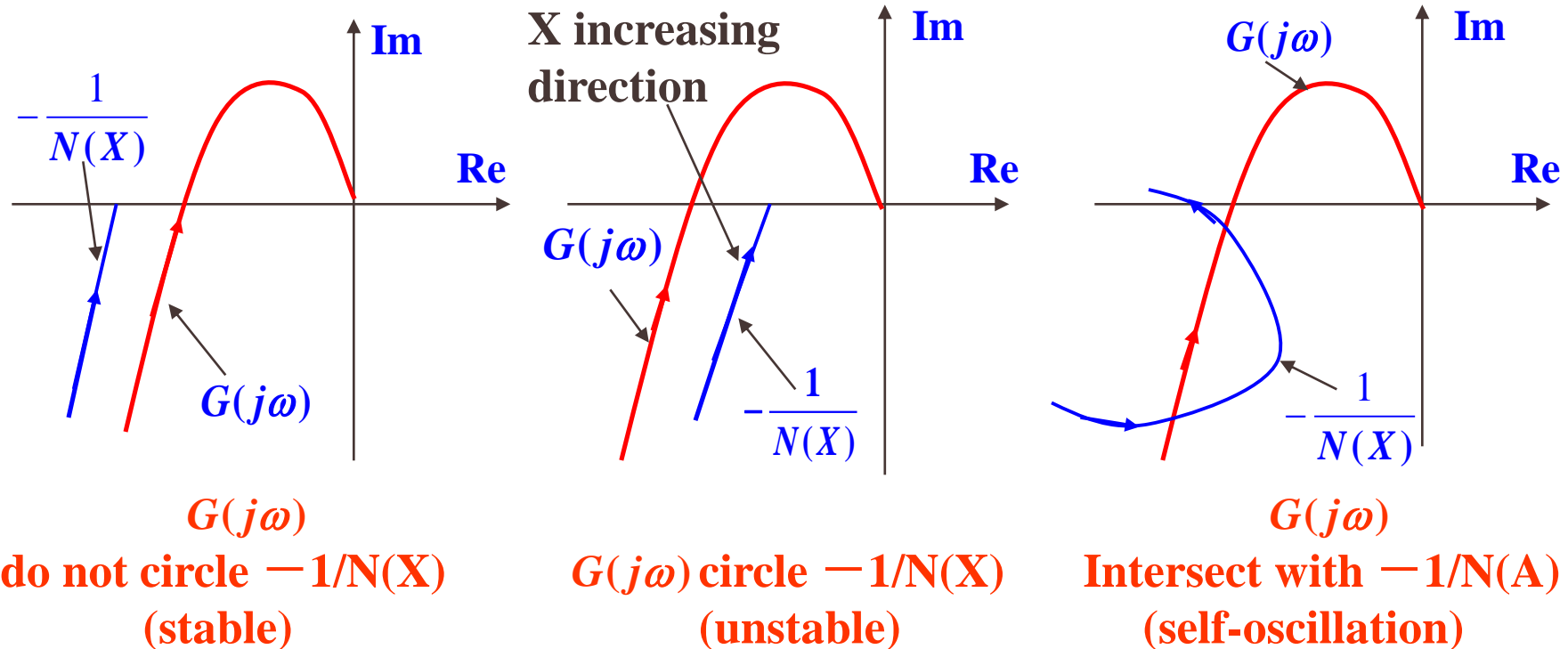
(3)  $G(j\omega)$  intersect with the point  $(-1, j\omega)$ , the system is in the critical stability.

### 3. Stability analysis of the nonlinear system

(For example the minimum phase system)

–  $\frac{1}{N(X)}$  : Negative inverse describing function.

Graphical explanation is shown as following:



## 7.2.3 Stability analysis of the nonlinear system by describing function

### 4. Self-oscillation of the nonlinear system

*A special motion of the nonlinear system:*

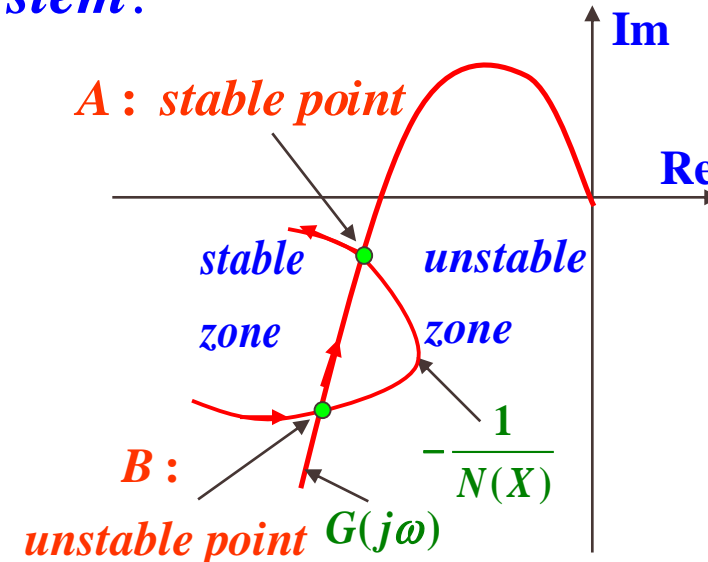
System will be at a continuous oscillation, which has a constant amplitude and frequency, when the system come under a light disturbance.

*Corresponding to the intersection*

*point of  $G(j\omega)$  with  $-\frac{1}{N(X)}$  :*

***B: unstable self-oscillation point***  $\rightarrow -1/N(X)$  enter unstable zone from stable zone.

***A: stable self-oscillation point***  $\rightarrow -1/N(X)$  enter stable zone from unstable zone.



**Self-oscillation**

### Example: (a graduate examination)

A nonlinear system is shown in Fig.7.2.3.4. The describing function of the Relay nonlinearity is  $\frac{4}{\pi X}$ ,  $G(s) = \frac{K}{s(5s + 1)(10s + 1)}$

- 1) Determine the system's stability.
- 2) Determine  $K$  and oscillation frequency  $\omega$  when self-oscillation amplitude is  $X = \frac{1}{\pi}$ .

is  $X = \frac{1}{\pi}$ .

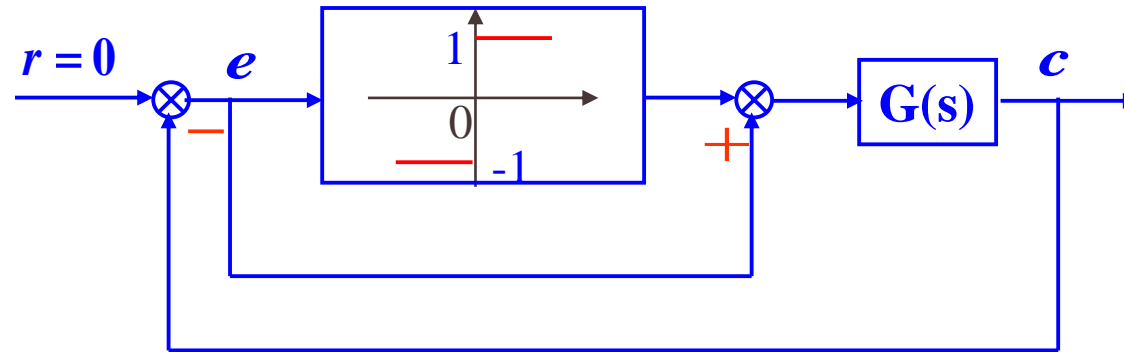


Fig.7.2.3.4

### Solution:

The system is equivalent to the Fig.7.2.3.5.

$$N(X) = 1 + \frac{4}{\pi X} \Rightarrow -\frac{1}{N(X)} = -\frac{\pi X}{\pi X + 4}$$

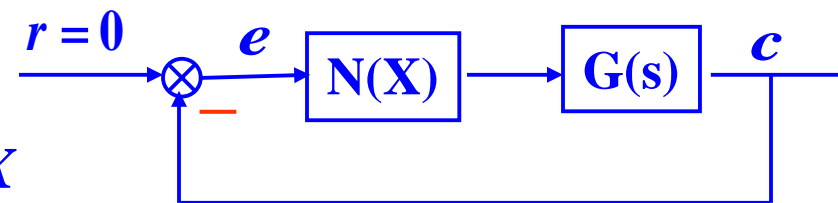


Fig.7.2.3.5

Graphical explanation is shown as Fig.7.2.3.6

$$G(j\omega) = \frac{K}{j\omega(j5\omega + 1)(j10\omega + 1)}$$

$$= \frac{K}{j\omega \left[ (1 - 50\omega^2) + j15\omega \right]}$$

$$G(j\omega) \Big|_{\omega = \sqrt{\frac{1}{50}} \approx 0.14} = -\frac{10}{3}K$$

$$-\frac{1}{N(X)} = -\frac{\pi X}{\pi X + 4} = \begin{cases} 0 & X = 0 \\ 1 & X = \infty \end{cases}$$

(1) Stability analysis:  $\begin{cases} K > \frac{3}{10}, \text{ unstable.} \\ K \leq \frac{3}{10}, \text{ self-oscillation} \end{cases}$

(2)  $-\frac{1}{N(X)} = -\frac{\pi X}{\pi X + 4} \Big|_{X = \frac{1}{\pi}} = -\frac{1}{5} = G(j\omega) \Rightarrow \omega = \sqrt{\frac{1}{50}} \approx 0.14, K = \frac{3}{50}$

Exercise: for this example, if:

$$G(s) = \frac{K}{s(10s + 1)^2}$$

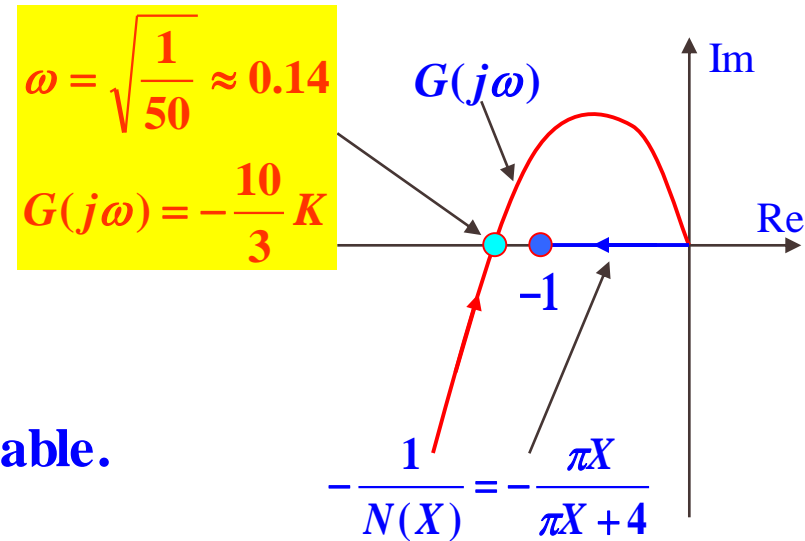


Fig.7.2.3.6



## **7.2.4 Attentions and development**

### **1. Attentions**

**(1) Using the describing function to analyze the nonlinear system, the linear parts of the system must be provided with a good characteristic of the low-pass filter—so that the harmonics produced by the nonlinear element can be neglected.**

**(2) Generally the describing function method can only be used for analyzing the stability and self-oscillation of the nonlinear systems, not the steady-state error and transient specifications.**

### **2. development**

**Modern analysis and design method of the nonlinear systems:  
Computer simulation and intelligent design.**

## 7.3 Phase plane method

It is a kind of graphic method to solve first and second order differential equation, put forward by Poincare In 1885.

### Four items:

1. What is the Phase plane?
2. How to plot the Phase plane ?
3. How to analyze the nonlinear systems by the Phase plane method.
4. Attentions and development.

### 7.3.1 What is the Phase plane

For a second - order time - invariable system :

$$\ddot{x} = f(x, \dot{x})$$

$f(x, \dot{x})$  is a linear or nonlinear function of  $x(t)$  and  $\dot{x}(t)$ .

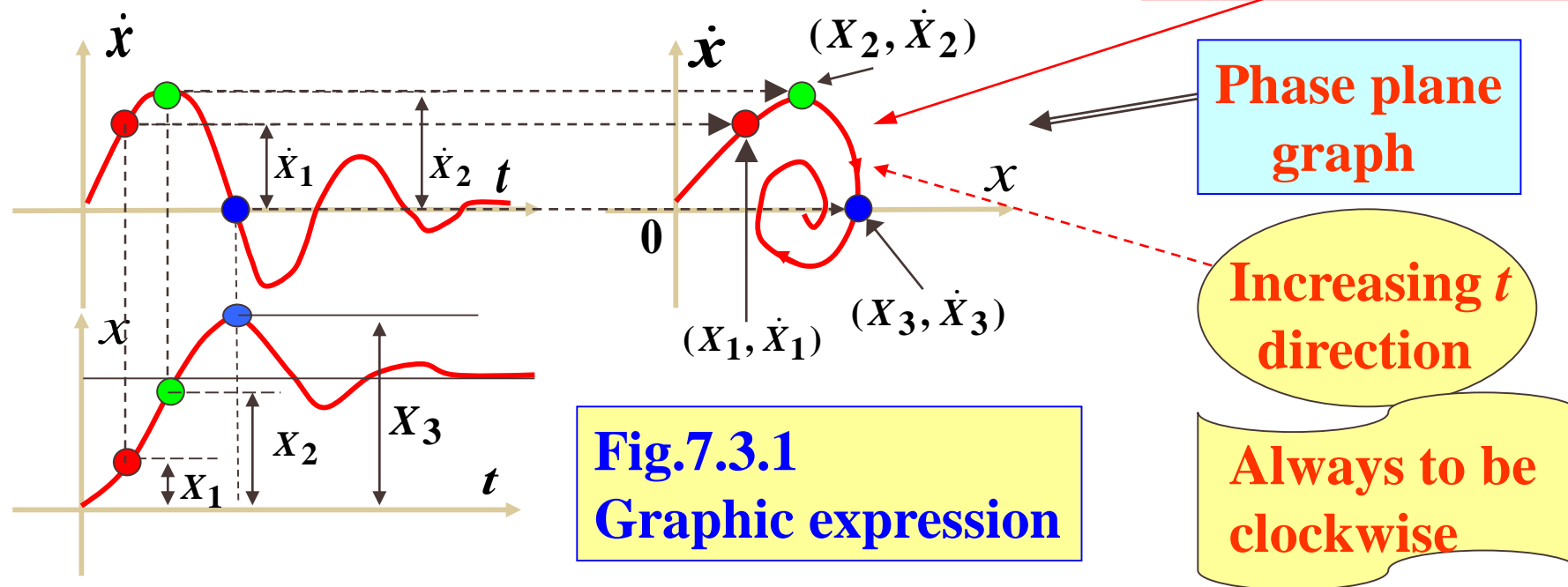
The solution of  $\ddot{x} = f(x, \dot{x})$  can be expressed by the form of the relation curve between  $x(t)$  and  $\dot{x}(t)$

### 7.3.1 What is the Phase plane

In the rectangular coordinate plane constituted by :

**x - axis**<sub>[= $x(t)$ ]</sub> and **y - axis**<sub>[= $\dot{x}(t)$ ]</sub>

- \* the plane  $\Rightarrow$  the phase plane
- \*  $x(t)$  and  $\dot{x}(t) \Rightarrow$  the phase plane variables (state variable).
- \* relation curve between  $x(t)$  and  $\dot{x}(t) \Rightarrow$  phase trajectory.



## 7.3.2. plotting method of the phase locus

### 1. Analytic method

For the system :  $\ddot{x} + f(x, \dot{x}) = 0$

Because :  $\ddot{x} = \frac{d\dot{x}}{dt} = \frac{d\dot{x}}{dx} \frac{dx}{dt} = \dot{x} \frac{d\dot{x}}{dx} = x_2 \frac{dx_2}{dx}$

Make :  $x = x_1$   $\dot{x} = x_2$  we have :  $x_2 \frac{dx_2}{dx_1} = -f(x_1, x_2)$

If  $f(x_1, x_2)$  <sup>can be</sup> <sub>decomposed</sub> =  $f_1(x_1) \cdot f_2(x_2) \Rightarrow \frac{x_2}{f_2(x_2)} dx_2 = -f_1(x_1) dx_1$

Then :  $\int \frac{x_2}{f_2(x_2)} dx_2 = -\int f_1(x_1) dx_1$

we have :  $F_2(x_2) = F_1(x_1)$

The relationship between  $x_2 (= \dot{x})$  and  $x_1 (= x)$  is obtained.

## 1. Analytic method

### Example 7.3.1:

Spring - mass motion system :  $m\ddot{x} + Kx = 0$

$m \rightarrow$  mass,  $K \rightarrow$  spring constant.

If initial condition  $x(0) = x_0, \dot{x}(0) = 0$ ,

plot the phase loci.

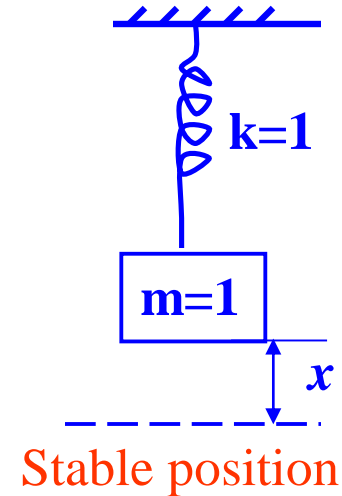
**Solution:**  $m\ddot{x} + Kx|_{m=1, K=1} = 0 \Rightarrow \ddot{x} + x = 0$

$$\text{then: } \dot{x} \frac{dx}{dx} = -x \Rightarrow \int \dot{x} dx = -\int x dx$$

$$\Rightarrow \frac{1}{2} [\dot{x}^2 - \dot{x}^2(0)] = -\frac{1}{2} [x^2 - x^2(0)]$$

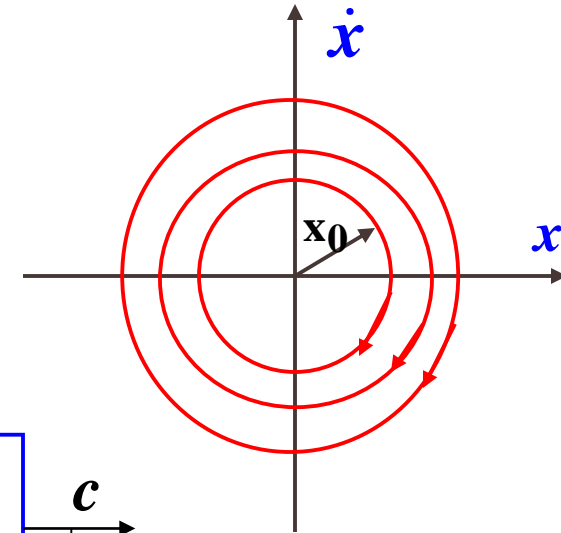
Because  $x(0) = x_0, \dot{x}(0) = 0 \Rightarrow \dot{x}^2 + x^2 = x_0^2$

*The phase trajectory is a circle,  $x_0$  is the radius.*

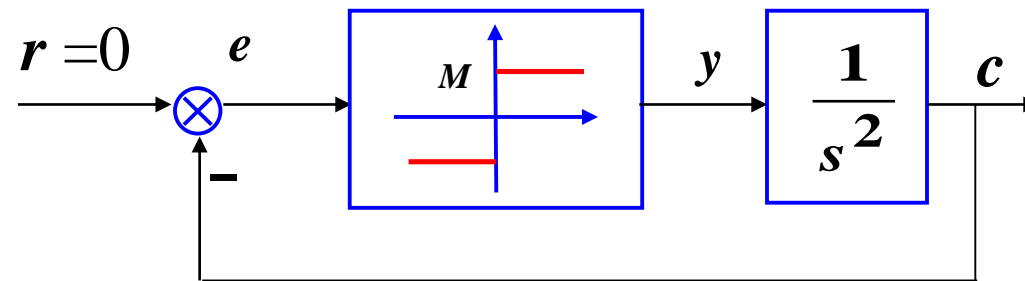


### 7.3.2. plotting method of the phase locus

For different  $x_0$  the phase loci are a tuft of concentric circles shown in following figure.



**Example 7.3.2:** For the system:



Plot the phase loci of the system:

**Solution:** Because :  $Y(s) \cdot \frac{1}{s^2} = C(s) \rightarrow Y(s) = s^2 C(s) \Rightarrow y = \ddot{c}$

So we have :  $\frac{d^2 c}{dt^2} = y = \begin{cases} M & r - c > 0 \\ -M & r - c < 0 \end{cases} = M \text{sign}(r - c)$

*make* :  $c = x_1, \dot{c} = x_2$

*then* :  $\left. \begin{aligned} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= \ddot{c} = M \text{sign}(r - x_1) \end{aligned} \right\} \rightarrow \frac{dx_2}{dx_1} = \frac{M \text{sign}(r - x_1)}{x_2}$

*that is*  $\int_{x_2(0)}^{x_2} x_2 dx_2 = \int_{x_1(0)}^{x_1} M \text{sign}(r - x_1) dx_1$

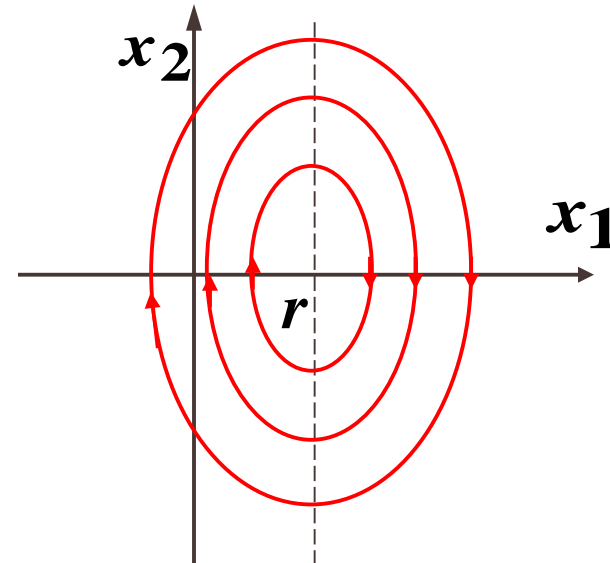
*when*  $x_1 < r$  :

*we have*  $x_2^2 = 2Mx_1 - 2Mx_1(0) + x_2^2(0)$

*when*  $x_1 > r$  :

*we have*  $x_2^2 = -2Mx_1 + 2Mx_1(0) + x_2^2(0)$

**The phase loci of the system is shown in following figure→self-oscillation.**



## 7.3.2. plotting method of the phase locus

### 2. Graphic method---isoclinical method

For the systems :  $\ddot{x} + f(x, \dot{x}) = 0 \Rightarrow \dot{x} \frac{d\dot{x}}{dx} + f(x, \dot{x}) = 0$

make :  $x_1 = x, x_2 = \dot{x}$  then :  $x_2 \frac{dx_2}{dx_1} = -f(x_1, x_2)$

$$\Rightarrow \frac{dx_2}{dx_1} = -\frac{f(x_1, x_2)}{x_2}$$

make :  $\frac{dx_2}{dx_1} = \alpha \Rightarrow \alpha = -\frac{f(x_1, x_2)}{x_2} \rightarrow$  isocline equation

$\alpha$ : the slope of the phase loci

**Example 7.3.3:** Spring - mass motion system :  $\ddot{x} + x = 0$

plot the phase loci by the isoclinical method.



## solution

In terms of :  $\ddot{x} + x = 0$  we have :  $\frac{d\dot{x}}{dx} = \frac{-x}{\dot{x}}$

make  $\frac{d\dot{x}}{dx} = \alpha$  then :  $\dot{x} = -\frac{1}{\alpha} x$

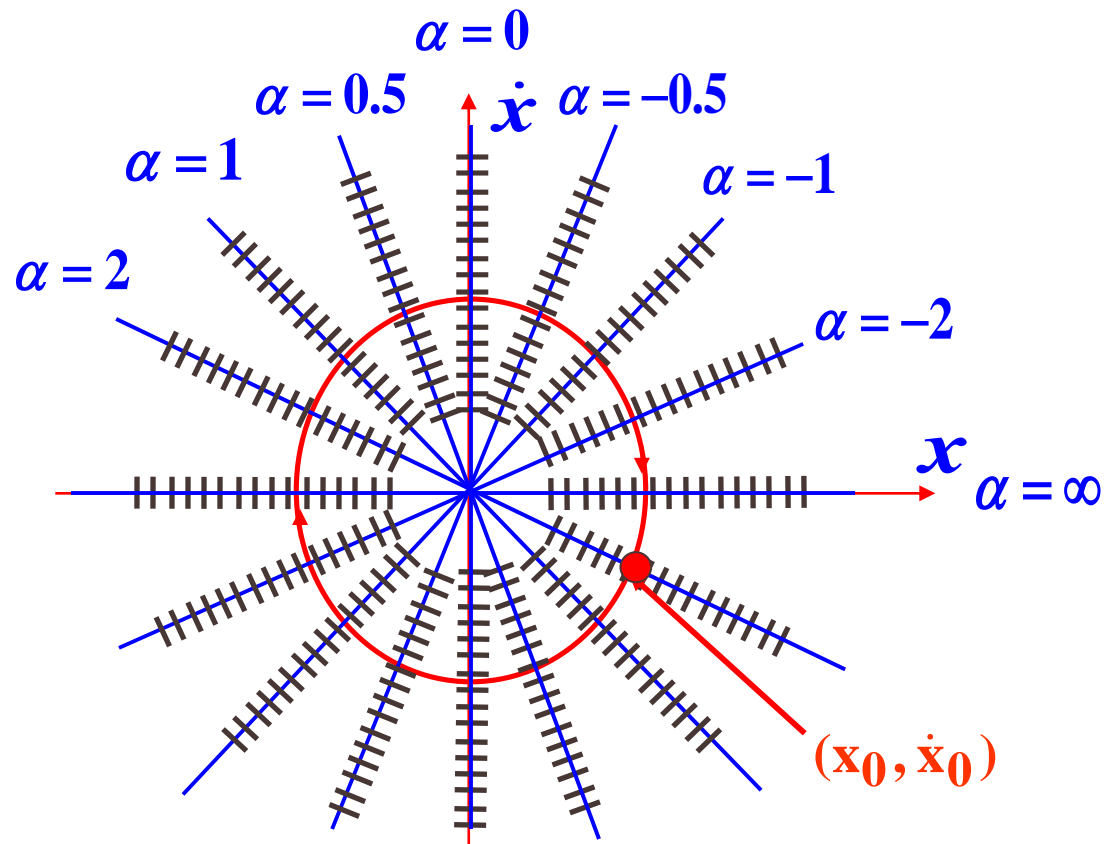
The isocline is the beelines passing the coordinate origin and with slope  $-\frac{1}{\alpha}$ .

The  $-\frac{1}{\alpha}$  values are shown in following table for different  $\alpha$

$\alpha$	$\infty$	2	1	0.5	0	-0.5	-1	-2	$-\infty$
$-\frac{1}{\alpha}$	0	-0.5	-1	-2	$-\infty$	2	1	0.5	0

We can plot the isoclinals like as following figure:

- (1) Plot the isoclinals for different  $\alpha$ .
- (2) Plot the corresponding tangents of the phase loci in each isoclinals.  $\alpha$  is the slope of the phase loci.
- (3) Plot the phase loci starting at the initial states  $(\mathbf{x}_0, \dot{\mathbf{x}}_0)$ .



### 7.3.2. plotting method of the phase locus

#### Attentions:

- 1)  $x$  - axis and  $\dot{x}$  should have the same scale.
- 2) The direction of the phase loci always are clockwise :  
For  $\dot{x} > 0$  : from left to right with  $x$  increasing ;  
For  $\dot{x} < 0$  : from right to left with  $x$  decreasing .
- 3) The slope of the phase loci through  $x$  - axis is  $\alpha = \infty$ ,  
so the phase loci intersect  $x$  - axis uprightly.
- 4) apply the symmetry of the phase locus to reduce work .  
For the symmetry about  $\dot{x}$  - axis :  $f(x, \dot{x}) = -f(-x, \dot{x})$   
For the symmetry about  $x$  - axis :  $f(x, \dot{x}) = f(x, -\dot{x})$   
For the symmetry about origin :  $f(x, \dot{x}) = -f(-x, -\dot{x})$

### 7.3.3 Analysis of the phase plane

#### 1. Singularity points of the phase locus

##### (1) singularity points

$$\text{For : } \ddot{x} = \dot{x} \frac{d\dot{x}}{dx} = -f(x, \dot{x}), \quad \text{slope : } \alpha = \frac{d\dot{x}}{dx} = -\frac{f(x, \dot{x})}{\dot{x}}$$

if  $f(x, \dot{x}) = 0$  and  $\dot{x} = 0$  at the same time, then :

$$\frac{d\dot{x}}{dx} = \frac{0}{0} \rightarrow \text{indefinite slope.}$$

$\Rightarrow$  singularity point.

- \* There are infinite phase loci going to or going off the singularity point because of the indefinite slope.
- \* The singularity points are the balance points of the nonlinear systems because of  $\dot{x} = 0$  at the points.

# 1. Singularity points of the phase locus

## (2) Types of the singularity points

The linearized nonlinear differential equation in the neighborhood of the singularity point can be expressed :

$$\ddot{x} + 2\xi\omega_n\dot{x} + \omega_n^2x = 0 \quad \text{Characteristic equation: } s^2 + 2\xi\omega_ns + \omega_n^2 = 0$$

$$s_{1,2} = -\xi\omega_n \pm \sqrt{\xi^2\omega_n^2 - \omega_n^2}$$

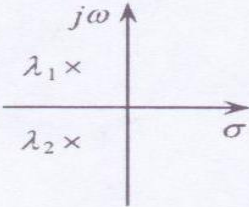
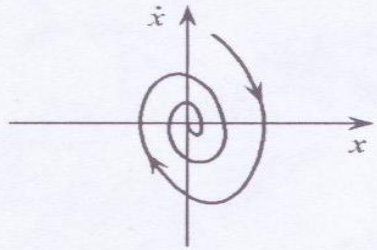
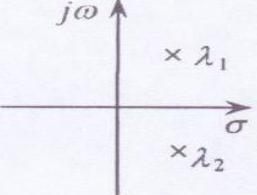
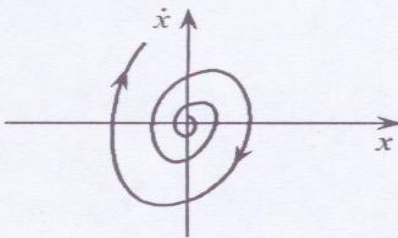
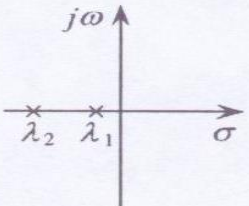
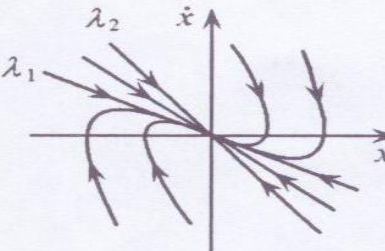
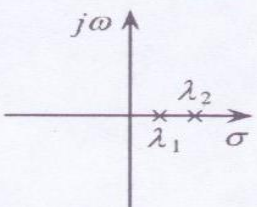
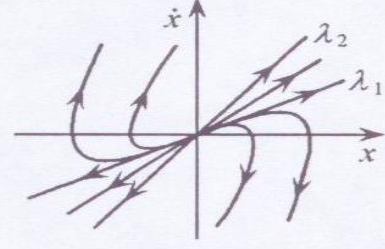
According to the position of  $s_{1,2}$  in  $s$ -plane, there are six types of the singularity points:

For  $0 < \xi < 1 \Rightarrow$  stable focus;  $\xi > 1 \Rightarrow$  stable nodes;

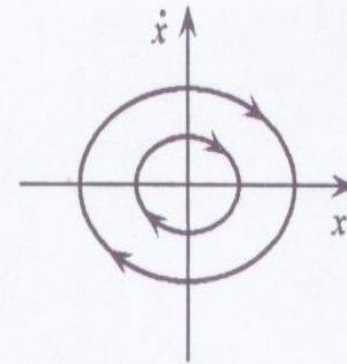
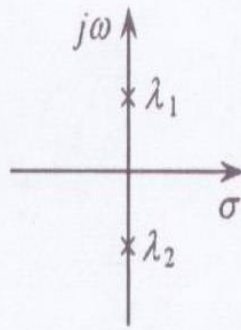
$-1 < \xi < 0 \Rightarrow$  unstable focus.  $\xi < -1 \Rightarrow$  unstable nodes.

$\xi = 0 \Rightarrow$  center.

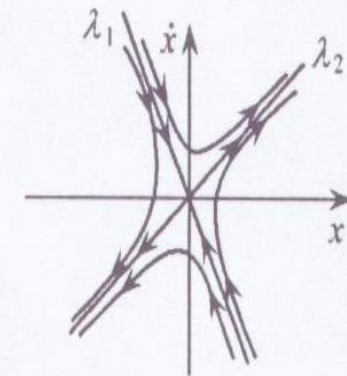
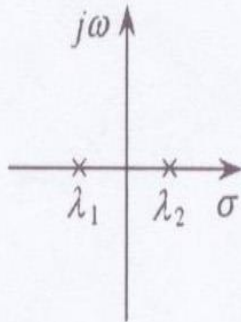
If :  $\ddot{x} + 2\xi\omega_n\dot{x} - \omega_n^2x = 0$  and  $\xi > 0 \Rightarrow$  saddle point

<b><i>types</i></b>	<b><i>Roots</i></b>	<b><i>Phase plane</i></b>
<b><i>stable focus</i></b>		
<b><i>unstable focus</i></b>		
<b><i>stable nodes</i></b>		
<b><i>unstable nodes</i></b>		

*centers*



*saddle points*



## 7.3.3 Analysis of the phase plane

### 2. limit cycle

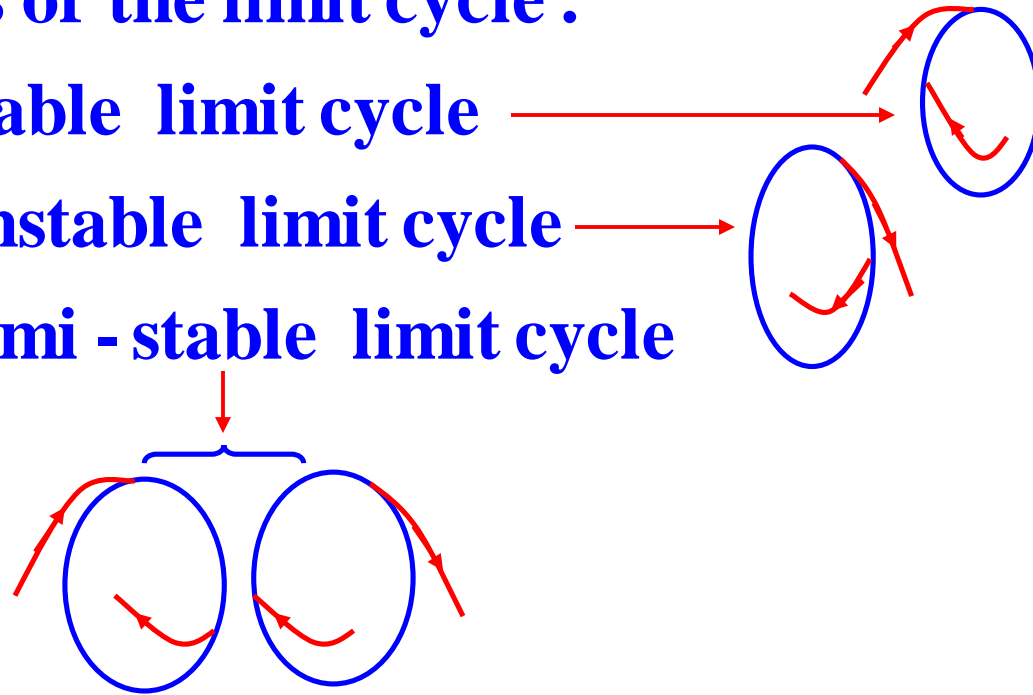
A kind of phase locus with the closed loop form  
→Corresponding to the self-oscillation.

Types of the limit cycle :

(1) stable limit cycle

(2) unstable limit cycle

(3) semi - stable limit cycle





### 7.3.3 Analysis of the phase plane

#### Example 7.3.4:

The differential equation of the nonlinear control system :

$$\ddot{x} + 0.5\dot{x} + 2x + x^2 = 0$$

Determine the singularity point of the system and plot the phase loci by the isocline method.

**Solution** make :  $\frac{d\dot{x}}{dx} = \frac{-0.5\dot{x} - 2x - x^2}{\dot{x}} = \frac{0}{0}$

we have the singularity point :  $x = 0, \dot{x} = 0; x = -2, \dot{x} = 0.$

Linearize the nonlinear differential equation to determine the types of the singularity points:

According to :  $\ddot{x} = -f(x, \dot{x}) = -(0.5\dot{x} + 2x + x^2)$

we have :  $\left. \frac{\partial f(x, \dot{x})}{\partial x} \right|_{x=0, \dot{x}=0} = 2 + 2x \Big|_{x=0, \dot{x}=0} = 2$

### 7.3.3 Analysis of the phase plane

$$\left. \frac{\partial f(x, \dot{x})}{\partial \dot{x}} \right|_{x=0, \dot{x}=0} = 0.5$$

In the neighborhood of the singularity point  $(0, 0)$  the linearization equation of the system is :

$$\ddot{x} + 0.5\dot{x} + 2x = 0$$

The characteristic roots are :  $s_{1, 2} = -0.25 \pm j1.39$ .

So the singularity point  $(0, 0)$  is a stable focus.

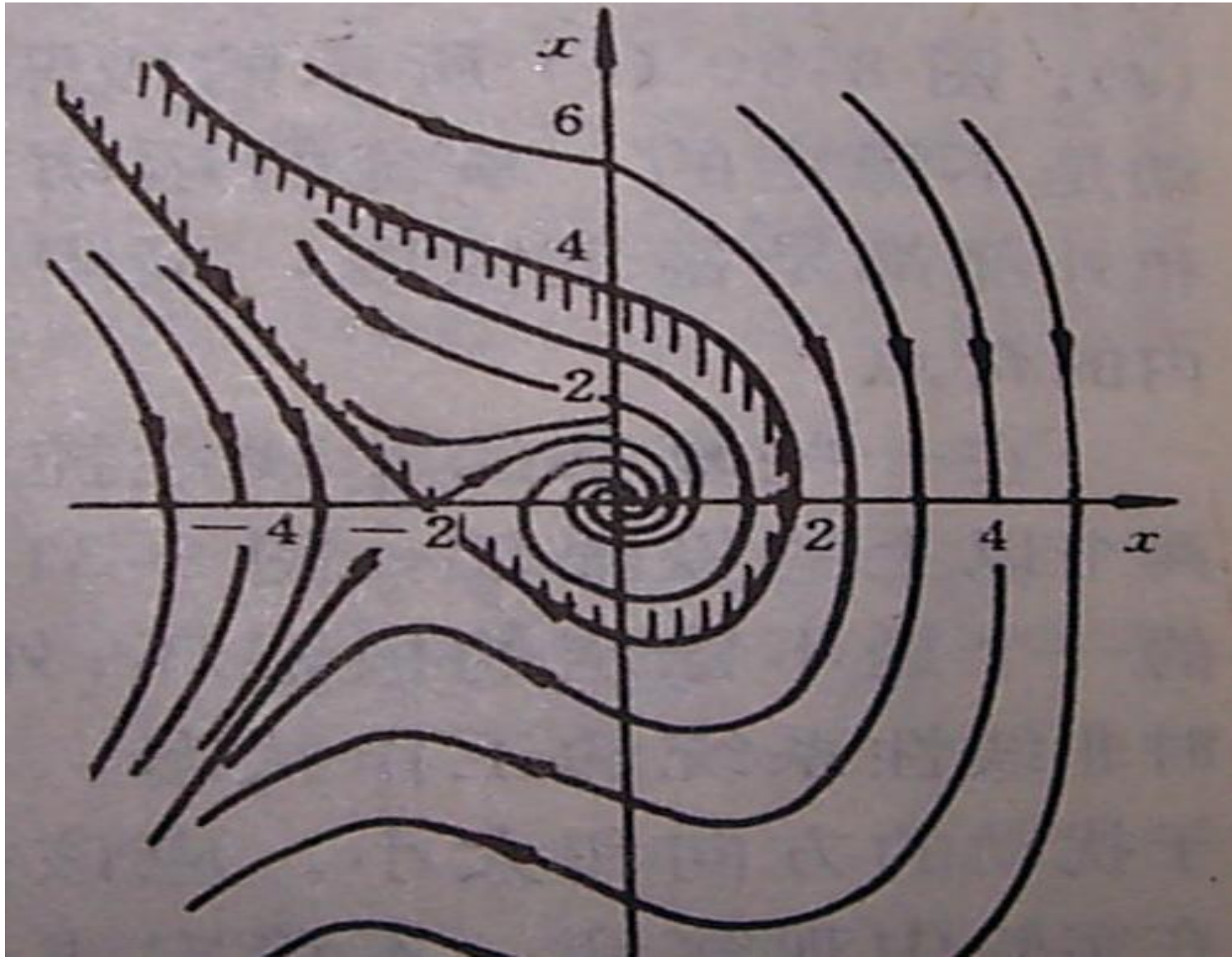
In the neighborhood of the singularity point  $(-2, 0)$  the linearization equation of the system is :

$$\ddot{x} + 0.5\dot{x} - 2x = 0$$

The characteristic roots are :  $s_1 = 1.19, s_2 = -1.69$ .

So the singularity point  $(-2, 0)$  is a saddle point.

The phase loci plotted by the isoclinical method are shown in following figure:

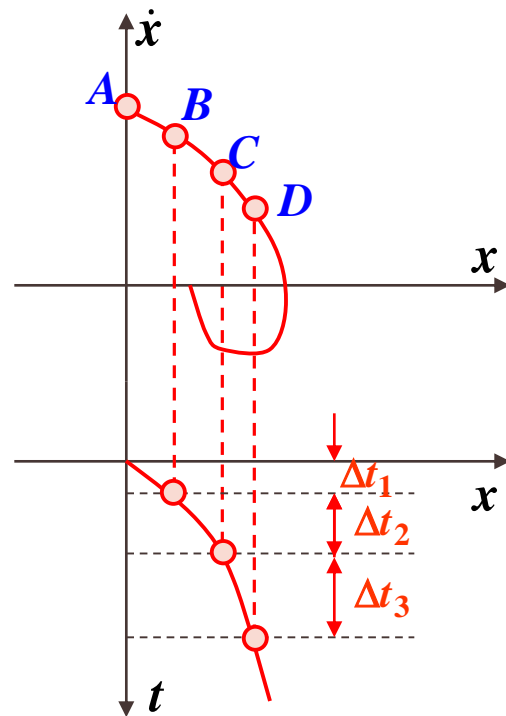


### 7.3.3 Analysis of the phase plane

#### 3. How to get the time response $x(t)$ from the phase locus

Because :  $\dot{x} = \frac{dx}{dt} \Rightarrow dt = \frac{dx}{\dot{x}} \Leftrightarrow \Delta t_i \approx \frac{\Delta x}{\dot{x}_{avi}}$

The graphical expression:



$$\Delta t_1 \approx \frac{\Delta x_1}{\dot{x}_{av1}} \Leftrightarrow \Delta x_1 = x_B - x_A, \dot{x}_{av1} = \frac{\dot{x}_A + \dot{x}_B}{2}$$

$$\Delta t_2 \approx \frac{\Delta x_2}{\dot{x}_{av2}} \Leftrightarrow \Delta x_2 = x_C - x_B, \dot{x}_{av2} = \frac{\dot{x}_B + \dot{x}_C}{2}$$

$$\Delta t_3 \approx \frac{\Delta x_3}{\dot{x}_{av3}} \Leftrightarrow \Delta x_3 = x_D - x_C, \dot{x}_{av3} = \frac{\dot{x}_C + \dot{x}_D}{2}$$

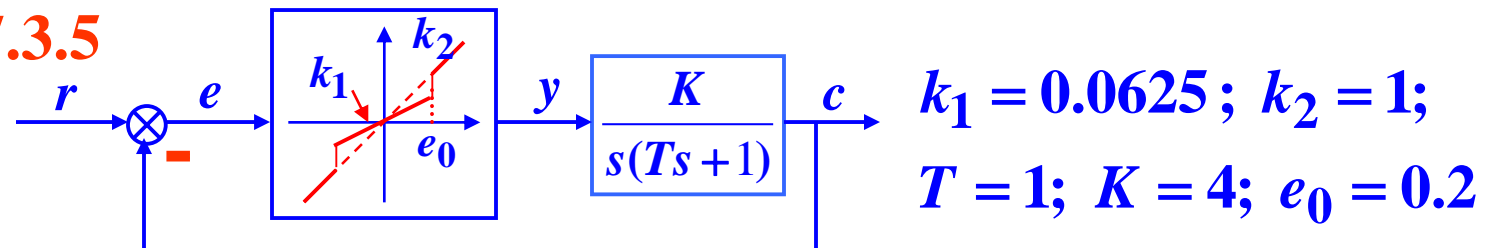
We can get the time response curve  $x(t)$  from the phase locus to analyze the time specifications, such as the rise time  $t_r$ , Settling time  $t_s$  etc. , of the nonlinear systems.

### 7.3.3 Analysis of the phase plane

#### 4. How to analyze the performance of the nonlinear systems from the phase locus

- (1) We can analyze the **stability** directly from the phase locus: the phase locus is convergent or divergent.
- (2) We can analyze the **self-oscillation** directly from the phase locus: the phase loci converge upon a limit circle.
- (3) We can transform the phase locus into the time response curve  $x(t)$  to analyze the **rise time  $t_r$ , settling time  $t_s$**  etc..
- (4) Also we can analyze the **steady state error, overshoot** etc., directly from the phase locus.

#### Example 7.3.5



If  $c(0) = \dot{c}(0) = 0$ , analyze the unity step response of the system

### 7.3.3 Analysis of the phase plane solution

because :  $Y(s) \cdot \frac{4}{s(s+1)} = C(s) \Rightarrow y = \begin{cases} 0.0625e & e < 0.2 \\ e & e > 0.2 \end{cases}$

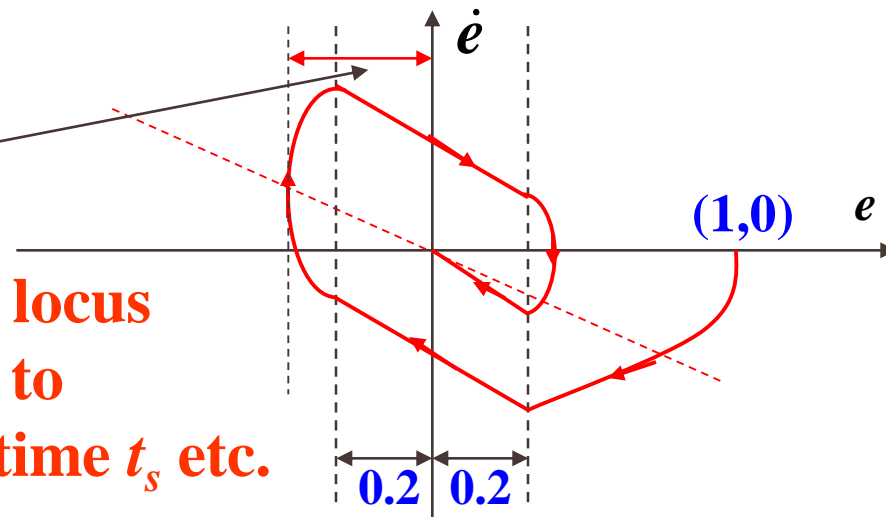
We have :  $\begin{cases} \ddot{e} + \dot{e} + 4e = 0 & |e| > 0.2 \\ \ddot{e} + \dot{e} + 0.25e = 0 & |e| < 0.2 \end{cases}$

$e(0) = 1, \dot{e}(0) = 0$

Singularity points: (0, 0), and a stable nodes in the  $e - \dot{e}$  plane.

The phase locus is shown in following figure:

- (1) **Stability: stable**
- (2) **Steady state error  $e_{ss} = 0$**
- (3) **Overshoot**
- (4) **We can transform the phase locus into the time response curve  $e(t)$  to analyze the rise time  $t_r$ , settling time  $t_s$  etc.**

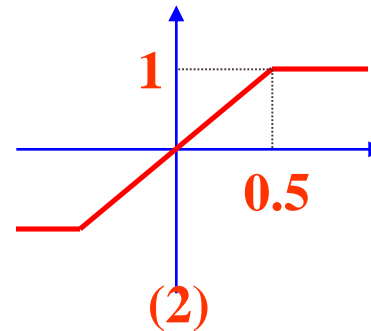
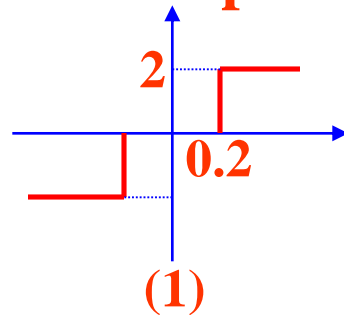


## 7.3 Phase plane method

### 7.3.4 Attentions and development

- (1) Phase plane method is only used for analyzing or designing the 1th-order or 2th-order nonlinear systems.
- (2) Analyzing the nonlinear systems by phase plane method is more all-sided compare with the describing function method. but more complicated.
- (3) Also the phase plane method is used to analyze the stability of some intelligent control systems, such as the Fuzzy control systems.

**Exercise:** For example 7.3.5, if the nonlinearity is:



# *Chapter 8 Discrete (Sampling) System*

**8.1 Introduction**

**8.2 Z-transform**

**8.3 Mathematical describing of the sampling systems**

**8.4 Time-domain analysis of the sampling systems**

**8.5 The root locus of the sampling control systems**

**8.6 The frequency response of the sampling control systems**

**8.7 The design of the “least-clap” sampling systems**



# Chapter 8 Discrete (Sampling) System

## 8.1 Introduction

### 8.1.1 Sampling

Make an analog signal to be a discrete signal shown as in Fig.8.1 .

$x(t)$  —analog signal .

$x^*(t)$  —discrete signal .

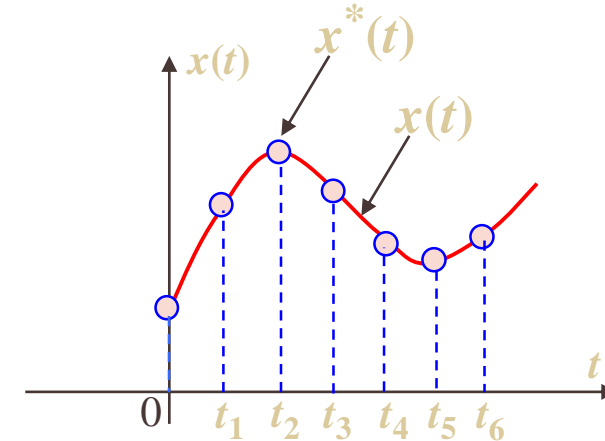


Fig.8.1 signal sampling

### 8.1.2 Ideal sampling switch —sampler

**Sampler** —the device which fulfill the sampling.

Another name —**the sampling switch** — which works like a switch shown as in Fig.8.2 .

### 8.1.3 Some terms

**1. Sampling period T**— the time interval of the signal sampling:  $T = t_{i+1} - t_i$ .

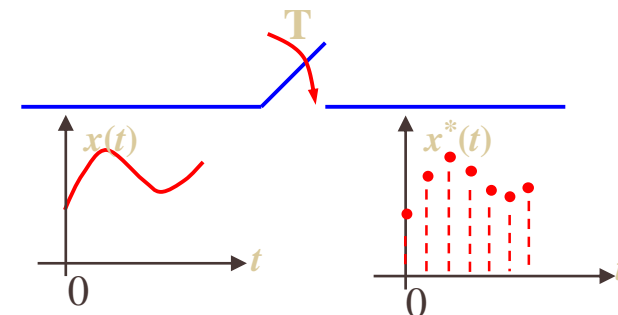


Fig.8.2 sampling switch



*Terimakasih*

